

# अध्यादेश संख्या - II

पी.एच.डी. विनियम-2018

# **Ordinance No. II**

DOCTOR OF PHILOSOPHY (PH.D)
REGULATIONS-2018

School of Planning and Architecture New Delhi

योजना तथा वास्तुकला विद्यालय नई दिल्ली





# योजना तथा वास्तुकला विद्यालय, नई दिल्ली

(संसद के अधिनियम के तहत राष्ट्रीय महत्व का संस्थान सिक्षा मंत्रालय, भारत सरकार)

# School of Planning and Architecture, New Delhi

(An Institution of National Importance enacted by an Act of Parliament,
Ministry of Education, Government of India

# ORDINANCE NO. II (Revised) DOCTOR OF PHILOSOPHY (PH.D) REGULATIONS - 2018

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# योजना तथा वास्तुकला विद्यालय, नई दिल्ली

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# DOCTOR OF PHILOSOPHY (PH.D.) REGULATIONS (Revised) -2018

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#### 1. **DEFINITIONS**

In these Regulations, the terms used shall have the following definitions:

- 1.1 "Doctoral Degree" shall mean the Degree of Doctor of Philosophy (Ph.D.). (by the School of Planning and Architecture, New Delhi) which may be conferred on a scholar who fulfils the requirements in accordance with these regulations, in a specified field pertaining to the courses of studies conducted by the School. The title of the thesis shall be mentioned in the said Degree.
- 1.2 "Applicant" shall mean an individual who applies for admission to the Ph.D. Programmes of the School of Planning and Architecture, New Delhi on a prescribed Application Form.
- 1.3 "Research Scholar" shall mean a person registered for Research for the Ph.D. Degree and in compliance with all the Regulations.
- 1.4 "Controller of Examinations (COE)" shall mean Controller of Examinations appointed by the School.
- 1.5 **"Course Work"** shall mean courses of study prescribed by the Department through the DRC to be undertaken by a scholar registered for the Ph.D. Degree.
- 1.6 "Date of Registration" shall mean the date of payment of fees on admission to the Ph. D. Programme.
- 1.7 **"Full-time Research Scholar"** shall mean a person registered for the **Ph.D.** degree devoting full time for completing the degree requirements.
- 1.8 **"Part-time Research Scholar"** shall mean a person who is registered for the Ph.D. Degree and will devote part of his/ her time for completing the degree requirements.
- 1.9 **"School"** shall mean the School of Planning and Architecture, New Delhi.
- 1.10 **"Supervisor"** shall mean a member of the full time Faculty of the School who has been approved by the DRC and JDRC to supervise/ guide the research work of the Research Scholar.

- 1.11 "Co-Supervisor" shall mean an additional Supervisor, wherever needed, as approved by the DRC and JDRC, to supervise/ guide the research work of the Research Scholar. The Co-Supervisor may or may not be a fulltime Faculty of the School.
- 1.12 "Minimum Registration Period" shall mean the minimum period for which a scholar must be registered, including the time spent as resident/non-resident scholar till submission of the thesis.
- 1.13 "Registration Period" shall be counted from the date of registration to submission of synopsis approved by the supervisor for examination.
- 1.14 "Residency" shall mean the minimum period for which a Research Scholar must attend the School on Full-time basis.
- 1.15 "Valid Registration" shall mean that the scholar has paid all the fees/dues.
- 1.16 These Regulations will come into force from the Academic Session 2018-19.

Note: 'He' & 'His' imply 'he'/ 'she' and 'his'/ 'her' respectively.

#### 2. TERMINOLOGY

The following terms used in the Regulations for the Award of the Degree of Doctor of Philosophy (Ph. D.) pertain to the School of Planning and Architecture, New Delhi, unless otherwise specified:

- a) Director
- b) Dean (Academic)
- c) Senate
- d) Departmental Research Committee (DRC)
- e) Joint Departmental Research Committee (JDRC)
- f) Coordinator Ph. D. Programme (CPP)

# 2.1 Departmental Research Committee (DRC)

A Departmental Research Committee (DRC) shall be constituted for

each Department of Studies by the Director on the recommendations of the concerned Head of the Department for a period of two years and shall consist of the following:

- a) Chairperson \*- Head of the Department.
- Member One Professor; or Associate Professor of the Department with Ph.D. by rotation by seniority.
- c) Members Two outside experts with expertise in the subjects.
- d) Member Coordinator of the Ph.D. Programme (CPP).

\*Chairperson should hold Ph.D. degree or should be in the rank of Professor and should not be a research scholar. In case Head of the department is not in the rank of Professor or does not hold Ph.D. degree or Head of the department refuses to be Chairperson of DRC, Director can appoint a suitable person as Chairperson DRC.

The concerned Supervisors and Co-Supervisors shall be invited to participate in the DRC meetings only during the presentations of Ph. D. Scholars under their supervision.

*Number of DRC Meetings:* At least two meetings of DRC shall be convened in an academic year by the Department to review the progress of work of Ph.D. scholars. The meetings shall essentially be held as perAcademic Calendar of the School.

# 2.2 Joint Committee of Departmental Research Committee (JDRC)

A Joint Departmental Research Committee shall be constituted to attend to the collective/ interdisciplinary issues related to the admission, conduct and management of the Ph.D. Programme in the School. The JDRC shall meet twice a year as per academic calendar of the School. The JDRC shall comprise of the following:

- a) Chairman Dean (Academic)
- b) Chairperson of DRC of each department
- c) Three outside experts nominated by Chairman-Senate on advice of Dean (Academic). Experts should be senior professors in

## architecture/ planning/ design - Member

d) Coordinator of Ph.D. Programme (CPP) as Member Secretary.

# 2.3 Coordinator of Ph.D. Programme (CPP)

The Director shall designate a senior Professor of the School as Coordinator of Ph.D. Programme (CPP), for a *period of two years*, who shall report to the Dean (Academic).

The Coordinator shall keep track of each Ph.D. scholar, right from his admission, registration, completion of course work, submission of thesis, defence of thesis and award of degree. His/ her main responsibility shall be to look after the interests of the Ph.D. scholars using the administrative set up of Dean (Academic) and work in close cooperation with Dean (Academic).

The Coordinator of Ph.D. Programmes (CPP) shall be member of all DRCs and JDRC.

#### 3. CLASSIFICATIONS

The applicants for admission to the Ph.D. programme shall be classified under anyone of the following categories:

- a) Research Scholar (Full time).
- b) Research Scholar (Part time)
- c) Research Scholar Sponsored (Full time/ Part time)
  - Financed by the Govt./ Semi-Government Organizations like CSIR, UGC, AICTE, under any other Research Schemes etc. (Full time/ Part time).
  - Nominated by the Government of India under a Cultural Exchange Scholarship Programme, Self-Financing Foreign student or a Scholar admitted under an MOU (Full time/ Part time).
  - Nominated by Academic Bodies, Educational Institutions, Professional Bodies; and NGOs.

#### 4. \* ADMISSION

## 4.1 \* Eligibility for Full-Time Research Scholar

The applicants for admission to the full time Ph.D. Programme should possess any of the following qualifications:

a) Master's Degree in any of the courses conducted by the School/ Integrated Master's Degree course or its equivalent conducted by any otherrecognized University/ Institutions with a minimum of 60 per cent aggregate marks or equivalent Grade Point Average.

OR

b1) Bachelor's Degree in Architecture or Bachelor's Degree in Planning/ Bachelor's Degree in Civil Engineering or its equivalent conducted by any recognized University/ Institution with a minimum of aggregate 80 per cent or 8.0 GPA or equivalent grade and minimum 3 years' experience (relevant to the proposed topic) in teaching/ research/ professional practice.

OR

b2) Bachelor's Degree in Architecture or Bachelor's Degree in Planning/ Bachelor's Degree in Civil Engineering or its equivalent conducted by any recognized University/ Institution with a minimum of aggregate 60 per cent marks or equivalent grade and minimum 10 years' experience (relevant to the proposed topic) in teaching/ research/ professional practice.

OR

- c) Master's Degree in allied disciplines, as decided by the respective DRC on case to case basis, relevant to different streams offered in this School by various departments, with a minimum of 60 per cent aggregate marks and minimum 10 years' experience (relevant to the proposed Ph.D. topic) in teaching/ research/ professional practice.
- d) Relaxation of 5 per cent of marks or equivalent grade considered

for SC/ ST candidates, as per rule.

## 4.2 \* Eligibility for Part-Time Research Scholar

Eligibility criteria of part-time scholars shall be the same as given as above in 4.1. In addition, the followings are to be met with,

a) 'NOC, from employer, if employed.

## 4.3 \* Eligibility for Foreign Nationals

Eligibility qualifications for foreign nationals are the same as stated in 4.1 and 4.2 above. Further, they are required to submit their applications through the Ministry of Human Resource Development or the Ministry of External Affairs. All such scholars shall be full-time scholars (Ref. 1.7).

#### Other Admission Provisions

- Admission to the Ph.D. Programmes shall be held throughout the year subject to the holding of the meeting of DRC and JDRC.
- ii) The admission procedure shall form a part of the academic calendar, clearly stating the dates for advertisement, issue of application forms, receipt of application, meetings of DRCs, date of declaration of admission results, last date for payment of fees, commencement of programme, etc.
- iii) The selection of scholars shall be made by the respective DRCs after giving due consideration to the qualifications and on interviewing the candidates, such that:
  - The candidates possess the requisite qualifications and experience along with competence for the proposed research.
  - The research on the proposed subject can be successfully pursued.
  - The research work can be appropriately undertaken in the concerned Department of Studies.
- iv) All the selections shall be collectively ratified in the Joint

- Committee of Departmental Research Committee (JDRC) under the Chairmanship of Dean (Academic).
- v) The topic given in the application shall be considered as indicative of the area of research.
- vi) The scholars shall have the freedom to refine the topic within twelve months of registration.
- vii) In case the research topic of scholar requires multidisciplinary input, at the time of admission, the scholar shall be assigned to the department which would provide the major input to that topic and the selection of such scholars may be made by DRC. Other Departments to be associated shall also be identified simultaneously. In addition to a primary supervisor, preferably from the department to which the scholar is attached, co- supervisors (if necessary) from other associated departments/ outside the school shall also be decided by the DRC.
- viii) The CPP in consultation with the Dean (Academic) shall finalize the list of scholars as ratified by the JDRC for admission to the Ph.D. programme and shall submit it to the Director for approval.
- ix) The scholar shall be admitted to the Ph.D. programme after the approval of the Director and on payment of the prescribed fees and compliance with all other required formalities.
- $^{*}$  Clauses 4.1, 4.2 and 4.3 of this Ordinance have been revised as per Resolution No. 142 of the BOG in its  $16^{th}$  meeting held on 21.11.2022.

#### 5. \* REGISTRATION

- 5.1 Every Ph.D. scholar shall pay the fees as notified by the school from time to time. In case fees are not paid, registration can be cancelled.
- 5.2 Each scholar shall have a valid registration at the time of submission of thesis.
- 5.3 Registration of a scholar shall be cancelled in anyone of the following

eventualities, after due approval of the JDRC:

- a) If the full-time scholar absents himself for a continuous period of four weeks without prior intimation and sanction of leave.
- b) If he/ she withdraws from the Ph.D. Programme and the withdrawal is duly accepted by the JDRC.
- c) If he/ she fails to pay the fees in any academic year subject to the provisions contained in these Regulations.
- d) If his/ her academic progress is found unsatisfactory (Ref. 11.2).
- e) If he/ she is found involved in an act of misconduct and/or indiscipline.

#### 5.4 \* Full Time to Part Time Conversion

- a) Request of scholar can be considered for the conversion, at the discretion of the DRC and ratification by JDRC. The Scholarship will cease from the date of acceptance by the JDRC or from the date of drawing salary, whichever is earlier).
- b) If converted within one year from the date of registration, the minimum or maximum period for completing the Ph.D will be applicable as per Part-time scholar. If converted after one year from the date of registration, the minimum or maximum period for completing the Ph.D will be applicable as per Full-time scholar.
- c) Request of Scholar can be considered only once.

# **5.5** \* The provision of Part Time to Full Time Conversion stands deleted.

#### 6. \* COURSE WORK

- 6.1 All Ph.D. Scholars shall compulsorily complete Research Methodology Course work organized by the School.
- 6.2 In addition, they shall also complete any other course work as may

<sup>\*</sup> Clauses 5.4 and 5.5 of this Ordinance have been revised as per Resolution No. 142 of the BOG in its 16<sup>th</sup> meeting held on 21.11.2022.

be prescribed by the respective DRC.

6.3 \* Apart from Research Methodology class, scholar holding only UG degree needs to attend first and second semester course work as decided by the DRC. Minimum six credits should be earned by the scholar from the course work. Grades in the respective courses should be C+ or above.

Program	No. of Courses/ Credits allowed	Remarks	For students enrolled in SPA
Ph.D	Up to 4 credits (max. 1 course) per year upto 3 years from date of enrolment	Courses should be approved by SWAYAM coordinator and DRC/JDRC.	Online Courses can be taken in lieu of required coursework except for Research Methodology.

<sup>\*</sup> Clause 6.3 of this Ordinance has been revised as per Resolution No. 141 of the BOG in its 16<sup>th</sup> meeting held on 21.11.2022.

# 7. \* SUPERVISORS/ CO-SUPERVISORS

- 7.1 The Director of the School, on the recommendations of JDRC, shall approve, from among the following, to act as Supervisor for Ph.D. scholars:
  - a) Professors of the School or
  - b) Associate Professor of the School with Ph.D. Degree
  - Assistant Professor of the School with Ph.D. and minimum two years of teaching experience post Ph.D.
- 7.2 The Supervisors shall be appointed in the first semester itself when the topic and area of the research is to be finalized by the student with the scrutiny of DRC.
- 7.3(a) \* A Supervisor will be allowed to supervise the number of students as per the following:
  - a) Professor 8 students\*\*

- b) Associate Professor 6 students\*\*
- c) Assistant Professor 4 students\*\*
- \*\* Excluding the scholars transferred due to superannuation/transfer/deputation, etc., of faculty, as per suggestion of DRC. However, this number will be limited to maximum two scholars per faculty.
- 7.4 Normally, there shall be only one Supervisor for a scholar.
- 7.5 Co-supervision, if applicable will also be counted as one student for the purpose of Clause 7.3(a).
- 7.6 In special cases or for multi-disciplinary topics, DRC may recommend appointment of Co-Supervisor from industry or from another educational institute or from other department of the School.
- 7.7 Any faculty member registered for Ph.D. in the School or any other Institute shall not qualify to be a supervisor for any Ph.D. student. Those without Ph.D. appointed as Supervisors shall cease to be supervisors if they themselves register for Ph.D.
- 7.8 In case a Supervisor/ Co-supervisor informs unavailability to guide or resigns, retires or dies, his replacement shall be promptly arranged by the Chairperson DRC.
- 7.9 JDRC, with recommendation of DRC, can consider the change of Supervisor only in exceptional case when either the Supervisor or the research scholar requests in writing to DRC and JDRC with valid reasons to change.
- 7.10 Based on the research topic and the availability of guidance, the scholar can be transferred from one department to another with the mutual consent of both DRCs of the respective departments and with approval of Chairman JDRC.
- 7.11 \* Supervisors who were guiding the scholar for at least one year before their superannuation, can continue to guide the Ph.D. scholar after superannuation as Co-Supervisors.
- 7.12 \* Professors of the School can guide a maximum of 2 Ph.D. scholars

outside SPA-Delhi as Co-Supervisor(s), in addition to existing limit of 8 Ph.D. scholars registered at SPA-D.

- \* Clause 7.3 of this Ordinance has been revised as per Resolution No. 142 of the BOG in its 16<sup>th</sup> meeting held on 21.11.2022.
- \* Clause 7.11 has been inserted in this Ordinance as per Resolution No. 84 of the BOG in its 11<sup>th</sup> meeting held on 27.01.2021.
- \* Clause 7.12 has been inserted in this Ordinance as per Resolution No. 85 of the BOG in its 11<sup>th</sup> meeting held on 27.01.2021.

# 8. FELLOWSHIP/ SCHOLARSHIP

- 8.1 The School shall award Fellowship/ Contingent Grants to the eligible full-time scholars in accordance with the norms laid down by the University Grants Commission (UGC), All India Council for Technical Education (AICTE), MHRD and the Senate of the School from time to time.
- 8.2 All full-time Ph.D. Scholars, who receive Fellowship/Scholarship, shall require to be involved in teaching assistance for about 6-8 hours of per week, as assigned by the respective Supervisor, in consultation with the Chairperson, DRC.
- 8.3 The monthly Fellowship and Contingency Grant shall be released only on the recommendations of the Supervisor, or on the recommendations and approval of the Head of the Department in case Supervisor is absent and not available for recording his recommendation with respect to progress in Ph.D. research and compliance to requirement in clause 8.2.
- 8.4 A scholar who has been awarded Fellowship/ Contingency Grant by the School shall devote his entire time to doctoral research and shall not engage himself or herself in full-time or part-time professional practice or employment with public or private institutions or organizations. An undertaking about the same needs to be submitted by the scholar.
- 8.5 Admission to the Ph.D. programme and award of fellowship are not linked. Admission to the programme does not guarantee fellowship.

Those who are not awarded fellowships can continue with the programme as self-financing scholar. In the case of self-financing full-time research scholar, the conditions of clause 8.2 will not be applicable.

- 8.6 The Fellowship/ Contingent Grants during registration period, if awarded, shall be available only for maximum of three years as per rules.
- 8.7 No scholarship will be given for leave period except for paid leaves.
- 8.8 Maximum paid leave shall not be more than 15 days in a year.

#### 9. \* DURATION

9.1 \* The duration and minimum requirement of courses will be as under:

Ph.D	Minimum	Maximum	Minimum
Scholar	<b>Duration for</b>	<b>Duration for</b>	Requirement
Admitted as	Submission of	Submission of	for Exam
per Clause	Thesis	Thesis	
4.1a	a) 2 years for Full time scholar b) 3 years for Part time scholar	a) 5 years for Full time scholar b) 7 years for Part time scholar	Research Methodology 6 credits
4.1 b(1), b(2), 4.1(c)	a) 4 years for Full time scholar/Part time scholar, including 1 year complete Residential Program	a) 6 years for Full time scholar b) 7 years for Part time scholar	a) Research Methodology 6 credits b) Courses of 6 Credits as per recommendatio n of DRC

9.2 In the total duration of the doctoral studies, a full-time scholar may be "allowed" to take leave of absence (without scholarship) for a

- maximum period of one year in not more than two spells.
- 9.3 In case of part-time scholar, the provision shall be the same as above except that the duration allowed shall not be more than two years.
- 9.4 In any case, maximum period for submission of thesis would not be relaxed and it will be the same as clause 9.1.
- 9.5 Irrespective of the leave of absence, a total maximum period of registration for full-time and part-time scholar shall be five years and seven years respectively in accordance within clause 9.1.
- 9.6 The maximum period for the submission of thesis in cases meriting longer duration, may be extended on the recommendation of the DRC and the approval of the JDRC.
- 9.7 No scholar, without permission, shall join any other course of study or appear in any examination conducted by a university/ institution or any public body. In case of default, the School would have the right to cancel the registration and also to recover all payments made to the scholar under the programme.
- 9.8 Research scholars will be allowed to travel outside for any data collections or for any exchange program. Any research scholar may also draw research fellowship from host institute/ organizations. During this period research scholar will surrender the scholarship from school.
- \* Clauses 9.1, 9.2, 9.3 of this Ordinance have been revised and consolidated as Clause 9.1 as per Resolution No. 142 of the BOG in its 16<sup>th</sup> meeting held on 21.11.2022.

#### 10. RESIDENCY

- 10.1 In case of full time scholars, every scholar is required to devote his entire time to doctoral research during three years after registration during which he/ she shall be resident.
- 10.2 Any scholar living within 50 kms. of the School shall be considered as resident.
- 10.3 In case of part-time students, the Residency requirement shall be

operative only for the period during which the scholar is undertaking the prescribed course work at the School as prescribed in the rules. After satisfactory completion of the compulsory, optional and other recommended course work, the scholar shall be free to work on his/her thesis anywhere, provided he/ she stays in constant touch with his/her Supervisor/ Chairperson DRC and attends the DRC meetings regularly.

- 10.4 In exceptional cases, the period of residency for a scholar may be staggered on the recommendation of the DRC and approval of the Chairperson JDRC.
- 10.5 A scholar, during the period of residency of one and a half years, may be permitted by the Supervisor to go out of Delhi, from time to time, as per the requirements of his research work. Out station stay of the scholar exceeding one month may be permitted on the recommendation of the supervisor and with the knowledge and approval of the Chairperson DRC.

#### 11. PROGRESS OF RESEARCH

- 11.1 Every scholar shall submit a progress report of his work in the prescribed forms to the Supervisor at the end of every six months. The Supervisor will forward six monthly progress reports with his/her remarks for the consideration of the DRC. After reviewing the report, the DRC may recommend appropriate action to be taken by CPP and JDRC. Every scholar shall, before the end of one year after registration, submit a time schedule of his work as planned for the next one year to DRC and to CPP.
- 11.2 If there are three successive unsatisfactory reports (or absents from the meetings of the DRC) on the progress of a scholar as reviewed by DRC/ CPP or in case of breach of prescribed rules, the Chairperson JDRC may recommend cancellation of the registration of the scholar on the recommendation of the DRC/ CPP.

#### 12. \* PREPARATION OF THESIS

12.1 The thesis shall be an original work which should signify discovery of

new facts or indicate new techniques or new inter-relations of facts already known. The articulation of the contents should be satisfactory, both in text and graphic presentation. The respective supervisors will ensure that the thesis has been put to plagiarism check and it meets the norms as decided by the JDRC from time to time. Plagiarism in any case shall not be more than 15 per cent and a certificate to this effect will be enclosed along with the final thesis, at the time of submission of thesis and viva-voce examination.

- \* Scholar will present synopsis and draft thesis in the DRC for getting concurrence of DRC for entering of the scholar to the examination process. DRC will record approval of synopsis and draft thesis in DRC minutes.
- 12.3 The scholar shall be permitted to submit his thesis only when the Supervisor certifies the thesis is the scholar's own work and the course works and the residency requirements are fulfilled.
- \* Clause 12.2 of this Ordinance has been revised as per Resolution No. 142 of the BOG in its 16<sup>th</sup> meeting held on 21.11.2022.

#### 13. THESIS SUBMISSION

- 13.1 The thesis shall be written in English or Hindi in the specified format and shall contain a comprehensive account of the scholar's research. It should signify discovery of facts; or indicate a fresh approach towards interpretation of facts and theories; or highlight significant contribution to knowledge or a combination of these. It should bear evidence of the scholar's capacity for critical analysis and judgment as well as his ability to carry out independent research investigation, design or development.
- 13.2 Thesis will be signed by Research Scholar, Supervisor, Co-Supervisor (if applicable) and Chairperson DRC.
- 13.3 A scholar may submit his/ her thesis within the time period as stipulated in clause 9.1 provided that:
  - a. He/ she has completed the minimum period of registration as required in Section 9.1.

- b. He/ she has completed, course work/ research methodology course requirements as required in Section 6.
- c. He/ she has completed the residency requirements as required in Section 10.
- d. He/ she has submitted synopsis of the thesis as required in Section 12.2.
- 13.4 Before submission of thesis for final examination, respective Chairperson DRC will submit compliance report in respect of:
- a) Plagiarism report, as per clause 12.1.
- b) Minimum two publications emerging out of thesis work:-
- c) Completion of Research Methodology Course.
- d) Minimum requirement of course work (if required as per suggestion of DRC).
- e) On completion of the thesis, the scholar shall submit four printed copies of the thesis along with two soft copies in CDs to CPP. It should conform to the format prescribed by the JDRC/ CPP.
- f) In case of joint supervision, five copies of thesis shall be submitted by the scholar, as applicable.
- 13.5 The thesis shall indicate how it incorporates the results of the scholar's own research work, and the aspects in which his research is an advancement of the knowledge of the subject.

#### 14. THESIS EXAMINATION

14.1 Based on domain area of thesis topic, the DRC would recommend a panel of external five domain experts, preferably with Ph.D., to CPP, of which two will be foreign experts of international standing in the areas to which the thesis is related. CPP will forward the recommendations of DRC to Dean (Academic). The Director (in consultation with the Dean (Academic) and the Chairperson, DRC, if desired) may select three examiners from the said panel for the thesis, one of whom shall be a foreign expert. Copies of the synopsis

- shall be sent by CPP to three selected examiners along with the offer of examinership.
- 14.2 On acceptance of the synopsis and acceptance of examinership, the thesis shall be referred by the Coordinator (CPP) to the above three examiners.
- 14.3 In case any expert declines to examine, the synopsis will be sent to another expert from the approved panel.
- 14.4 Each examiner shall be asked to submit a report to the coordinator (CPP) within 6-8 weeks giving a clear recommendation stating any one of the followings:
- The thesis is satisfactory and the viva-voce examination of the scholar may be held;
- The thesis is satisfactory but requires minor corrections/ modifications. After these modifications the viva-voce examination of the scholar may be held;
- c) The thesis shall be referred to the scholar for revision for reasons in the report, and thereafter the thesis shall be re-examined by the same examiners; and
- d) The thesis shall be rejected for the reasons as stated.
- 14.5 Reminder may be sent to the examiners by CPP after six weeks. If report is not received after eight weeks, last reminder may be sent for next two weeks.
- 14.6 If the report is not received with in next two weeks of extension period, CPP will bring it to notice of Chairperson JDRC for further necessary action.
- 14.7 Chairperson JDRC may send to another examiner from the list recommended by Chairperson JDRC and approved by the Director Chairman Senate.

#### 15. \* CONDUCT OF VIVA-VOCE EXAMINATION

15.1 Viva-voce examinations will be conducted if:

- a) All the examiners mention recommendations as per clause 14.4.aor 14.4.b.
- b) If any examiner(s) gives recommendation under 14.4. (c), thesis, after incorporating the comments, will be examined by the same examiner(s) and if final recommendation by this examiner is
  - 14.4.a or 14.4.b, the viva-voce examinations may be conducted.
- In case of differences of opinion between the examiners, views oftwo examiners out of three will prevail.
- d) A thesis requiring major revisions may be submitted within six months of the receipt of decision of the School. The same shall normally be examined by the examiner recommending major revisions. If the examiner is unable to re-evaluate the thesis, the Director, in consultation with the Dean, CPP and Chairperson DRC shall appoint another examiner or other examiners. In suchcases additional panel of examiners may also be recommended to the JDRC by the DRC/ CPP.
- 15.2 While recommending viva-voce examination of the scholar, the examiner may indicate the nature of questions and the issues to be clarified at the viva-voce.
- 15.3 In case of favourable recommendations, the viva-voce examination shall be conducted by the examination committee comprising External Examiners, Chairperson DRC, Supervisor and the Coordinator (CPP) in the presence of students/ scholars and faculty of the School who wishto be present at the time of viva-voce.
- 15.4 At least one of the examiners from outside the School must be present in the viva-voce.
- 15.5 The Chairperson, JDRC will chair the Viva-voce Examination Committee.
- 15.6 In addition to obtaining satisfactory clarification of the points raised by the examiners in their reports, the viva-voce panel shall satisfy itself that the knowledge of the scholar in the broad area of

his study is satisfactory.

- 15.7 \* On the consideration of the report of the Viva-Voce Examination Committee, the Chairperson Viva-Voce Examination Committee shall finally recommended to the Director Chairman, Senate, whether;
  - a) The degree of Doctor of Philosophy (Ph.D.) be awarded; or
  - b) The scholar is required to be re-examined on a later date; or
  - c) The degree of Doctor of Philosophy may not be awarded.

#### 16. FINAL SUBMISSION OF THESIS

16.1 Post viva-voce, two copies of the thesis, with all corrections and modifications and signatures as in clause 13.2 shall be submitted to the School's Library in hard cover with single spacing and typed/printed on single side of paper along with two CDs, for the purpose of permanent record.

#### 17. CONFERMENT OF Ph.D. DEGREE

- 17.1 Chairperson JDRC shall recommend the minutes of the viva-voce committee to Chairman Senate and on approval from the Chairman Senate, Chairperson JDRC will forward the decisions to COE for declaration of the result.
- 17.2 The Degree of Doctor of Philosophy (Ph.D.) shall be conferred on the successful scholar in the forthcoming Convocation, with the formal approval of the Senate.

#### 18. REMOVAL OF DOUBTS

18.1 If any issue arising from the conduct of Ph.D. Programmes, is not covered by these Regulations, the decision of the Chairman Senate shall be final.

#### 19. GENERAL

19.1 In the event of any ambiguity in these Regulations, decision of Chairman Senate will be final and binding.

<sup>\*</sup> Clause 15.7 of this Ordinance has been revised as per Resolution No. 142 of the BOG In its 16<sup>th</sup> meeting held on 21.11.2022.

19.2 Notwithstanding anything contained in these Regulations, all categories of the scholar shall be governed by the rules and procedure framed by the Senate in this regard and in force from time to time.



#### योजना तथा वास्तुकला विद्यालय नई दिल्ली

संसद के अधिनियम के तहत "राष्ट्रीय महत्व का संस्थान" (मानव संसाधन विकास मंत्रालय, भारत सरकार)

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## School of Planning and Architecture New Delhi

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