



योजना तथा वास्तुकला विद्यालय, नई दिल्ली  
SCHOOL OF PLANNING AND ARCHITECTURE, NEW DELHI  
(संसद के अधिनियम के तहत "राष्ट्रीय महत्व का संस्थान")  
(An "Institution of National Importance" under an Act of Parliament)  
शिक्षा मंत्रालय, भारत सरकार  
Ministry of Education, Government of India  
4 - बी , इंद्रप्रस्थ एस्टेट , नई दिल्ली 110002 भारत  
4-B, I. P. Estate, New Delhi 110002 INDIA

Ref.No.11BOG/SPA/2021

2<sup>nd</sup> February, 2021.

**TO ALL MEMBERS OF BOARD OF GOVERNORS OF SCHOOL OF PLANNING  
AND ARCHITECTURE, NEW DELHI**

**SUBJECT: MINUTES OF THE 11<sup>TH</sup> BOARD OF GOVERNORS SPECIAL  
MEETING OF THE SCHOOL OF PLANNING AND  
ARCHITECTURE, NEW DELHI HELD ON WEDNESDAY, 27<sup>TH</sup>  
JANUARY, 2021 AT 12.30 P.M. (ONLINE /OFFLINE MODE).**

Sir/Madam,

I am directed to forward herewith Minutes of the 11<sup>th</sup> Board of Governors Special Meeting of School of Planning and Architecture, New Delhi held on Wednesday, 27<sup>th</sup> January, 2021 at 12.30 p.m. in the New Committee Room of the School (Online/Offline Mode). Comments on the Minutes, if any, may please be forwarded within two weeks' time.

Yours faithfully,

(Umakant Agarwal)  
Registrar-Secretary

**Encl: As stated above**

योजना तथा वास्तुकला विद्यालय : नई दिल्ली  
SCHOOL OF PLANNING AND ARCHITECTURE: NEW DELHI

MINUTES OF THE 11<sup>TH</sup> **BOARD OF GOVERNORS** SPECIAL MEETING OF THE SCHOOL OF PLANNING AND ARCHITECTURE, NEW DELHI, HELD ON WEDNESDAY, 27<sup>TH</sup> JANUARY, 2021 AT 12.30 P. M. IN THE NEW COMMITTEE ROOM OF THE SPA, NEW DELHI (OFFLINE / ONLINE MODE)

Present:

1.	Ar. Amogh Kumar Gupta	Chairperson
2.	Prof. Dr. D. S. Meshram (Representative from ITPI)	Member
3.	Prof. Subrata Chattopadhyay (Representative AICTE)	Member
4.	Prof. Dr. Ranee Vedamuthu (Representative from UGC)	Member
5.	Prof. Dr. Priyaleen Singh, HOD(LA) (Representative from Senate (Architecture))	Member
6.	Prof. Dr. Sanjukta Bhaduri, Dean(Research) (Representative from Senate (Planning))	Member
7.	Shri Madan Mohan ADG (NITs/SPAs) & Bureau Head(TE) Ministry of Education	Member
8.	Shri Udaya Kiran K.V. US(IFD), Ministry of Education (Representative of Ms. Darshana M. Dabral, JS & FA, Ministry of Education)	Member
9.	Ms. Sapna (Representative from COA)	Member
10.	Prof. Dr. P. S. N. Rao Director, SPA, Delhi	Member
11.	Shri Umakant Agarwal Registrar	Secretary



The Principal Secretary, TTE, NCT of Delhi and Ms.Thara D., JS, MoHUA did not attend.

The Members at Sl.Nos.2, 3 4, 7,8 & 9 attended the Meeting through Online Mode.

The Chairperson welcomed all the Members of BOG and called the Meeting to order.

<b>11 BOG ITEM NO: 01:</b>	<b>TO CONFIRM THE MINUTES OF 09<sup>th</sup> BOARD OF GOVERNORS MEETING OF THE SCHOOL HELD ON 14<sup>TH</sup> SEPTEMBER, 2020</b>
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The Minutes of the 09<sup>th</sup> Board of Governors Meeting of the School held on 14<sup>th</sup> September, 2020 were confirmed.

<b>11 BOG ITEM NO.02:</b>	<b>TO REPORT AND RATIFY THE PROCEEDINGS OF THE 10<sup>TH</sup> BOG (BY CIRCULATION) REGARDING CONDUCT OF INTERVIEWS FOR SELECTION OF PROFESSORS IN THE SCHOOL (CIRCULATED ON 8<sup>TH</sup> OCTOBER, 2020)</b>
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The Proceedings of the 10<sup>th</sup> Board of Governors (By Circulation dated 8<sup>th</sup> October, 2020) were confirmed.

<b>11 BOG ITEM NO. 03:</b>	<b>TO CONSIDER ACTIONS TAKEN REPORT ON THE MINUTES OF THE 09<sup>TH</sup> BOARD OF GOVERNORS MEETING OF THE SCHOOL HELD ON 14<sup>TH</sup> SEPTEMBER, 2020</b>
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- 1) As regards 09 BOG ITEM NO.03, the BOG perused all the correspondence/documents/record in the case of Ms.Moulshri Joshi and resolved to proceed with the disciplinary action / legal action, as applicable.
- 2) As regards 09 BOG Item No.7, the BOG perused all the correspondence/documents/record in the case of Ms.Taru Jain and resolved to proceed with the disciplinary action / legal action, as applicable.

With the above observations, the BOG noted and approved the action taken report on the Minutes of the 09<sup>th</sup> BOG held on 14.09.2020.



11 BOG ITEM NO. 04:	TO CONSIDER ACTIONS TAKEN ON THE PROCEEDINGS OF THE 10 <sup>TH</sup> BOG (BY CIRCULATION) REGARDING CONDUCT OF INTERVIEWS FOR SELECTION OF PROFESSORS IN THE SCHOOL (CIRCULATED ON 8 <sup>TH</sup> OCTOBER, 2020)
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The BOG noted and approved the action taken report on the minutes of the 10<sup>th</sup> BOG regarding conduct of interviews for selection of Professors in the School.

11 BOG ITEM NO. 05:	TO CONSIDER RECOMMENDATIONS OF 12 <sup>TH</sup> FINANCE COMMITTEE MEETING HELD ON 27 <sup>TH</sup> JANUARY, 2021
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The BOG considered the recommendations of the 12<sup>th</sup> FC at its Meeting held on 27<sup>th</sup> January, 2021 and approved the same, as under:

1. The FC observed that there was no major infirmity in the draft audit report and the observations made by the CAG may be looked into and settled.
2. To approve the recommendations of the 10<sup>th</sup> BWC held on 22<sup>nd</sup> January, 2021.
3. To ratify the matter regarding Smt.Parkash Bhatia.
4. To put up all pending legal cases, audit paras, grievances in the forthcoming FC and BOG Meetings.

The BOG resolved as under:

**Resolution No.80:** *“Resolved to approve the recommendations of the 12<sup>th</sup> FC made at its Meeting held on 27<sup>th</sup> January, 2021 (Minutes of 12<sup>th</sup> FC enclosed at Annexure-11 BOG/I).”*

11 BOG ITEM NO. 06:	TO CONSIDER RECOMMENDATIONS OF THE 10 <sup>TH</sup> SENATE MEETING TO BE HELD ON 23 <sup>RD</sup> JANUARY, 2021
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The Chairperson, BOG informed the Members that the School has decided to hold the E-Convocation on 16<sup>th</sup> February, 2021, virtually for the first time.

The BOG considered and approved the following recommendations of the 10<sup>th</sup> Senate in its Meeting held on 23.01.2021 with some modifications, as under:



1. As regards 10 Senate Item No.03, To consider Names of Graduands eligible for the Award Of Degree Certificates at the XXXVIII Convocation of the School, the BOG noted the list of students eligible for award of Degrees in XXXVIII Convocation of the School, as under:

LIST OF GRADUANDS - XXXVIII CONVOCATION		
S.NO.	COURSE	NO. OF STUDENTS
1	PhD	8
2	Architectural Conservation	16
3	Industrial Design	22
4	Urban Design	19
5	Building Engg. And Management	28
6	Landscape Architecture	20
7	Environmental Planning	12
8	Housing	21
9	Regional Planning	13
10	Transport Planning	22
11	Urban Planning	31
12	Bachelor of Architecture	102
13	Bachelor of Planning	35
14	Bachelor of Building Science	6
	GRAND TOTAL	355

The BOG considered and approved the names of the graduands eligible for the award of Degree Certificates at the XXXVIII Convocation of the School and resolved as under:

**Resolution No.81:** *“Resolved to approve the names of Graduands eligible for the award of Degree Certificates at the XXXVIII Convocation of the School, as per recommendations of the 10<sup>th</sup> Senate made at its Meeting held on 23<sup>rd</sup> January, 2021”.*

2. As regards 10 Senate Item No.04, to consider names of Graduands eligible for the award of Bachelor of Building Science Degree at the XXXVIII Convocation of the School, the BOG considered and approved the names of the graduands (6 Nos.) eligible for award of Bachelor of Building Science Degree Degree at the forthcoming XXXVIII Convocation of the School and resolved as under:



Resolution No.82: *“Resolved to approve the names of graduands eligible for the award of Bachelor of Building Science Degree at the XXXVIII Convocation of the School, as per recommendations of the 10<sup>th</sup> Senate made at its Meeting held on 23<sup>rd</sup> January, 2021”.*

3. As regards 10 Senate Item No.05, to consider names of graduands eligible for the award of Medals Prizes at the XXXVIII Convocation of the School, the BOG considered and approved the names of the graduands eligible for the Award of Medals Prizes at the forthcoming XXXVIII Convocation of the School and resolved as under:

Resolution No.83: *“Resolved to approve the names of the Graduands eligible for award of Medals Prizes at the forthcoming XXXVIII Convocation of the School, as per recommendations of the 10<sup>th</sup> Senate made at its Meeting held on 23<sup>rd</sup> January, 2021”.*

4. As regards 10 Senate Item No.08, to consider continuation of Guides after their superannuation guiding PH.D scholars, the 12<sup>th</sup> BOG held on 27<sup>th</sup> August, 2021, under Item No.01, discussed that the retired faculty member may continue to be Co-guide for those Ph.D Scholars who are already registered with him/her before superannuation.

Resolution No.84: *“Resolved to approve that the retired faculty member may continue to be Co-guide for those Ph.D Scholars who are already registered with him/her before superannuation”.*

5. As regards 10 Senate Item No.12, to consider guiding Ph.D scholars outside SPA-DELHI, the BOG considered and approved that the Professors may be allowed guiding of a maximum of 2 Ph.D scholars, who are registered in other international or national universities /institutions, only as a Co-Supervisor, in addition to existing limit of guiding 8 Ph.D scholars registered at SPA-D as Supervisor/ Co-supervisor and resolved as under:

Resolution No.85: *“Resolved to approve guiding of a maximum of 2 Ph.D scholars outside SPA-Delhi by the Professors of the School as Co-Supervisor(s), in addition to existing limit of 8 Ph.D scholars registered at SPA-D, as per recommendations of the 10<sup>th</sup> Senate made at its Meeting held on 23<sup>rd</sup> January, 2021”.*



6. As regards 10 Senate Item No.19, to consider addition of ARPIT as a refresher course for career advancement of faculty in the PBAS of SPA Delhi (CATEGORY III(E)I, the BOG considered and approved that faculty of SPA-Delhi who have successfully completed an ARPIT Program/ MOOC Course/ SWAYAM Course of not less than two weeks duration may be given 20 points each of the API of the PBAS

Resolution No.86: "Resolved to approve addition of ARPIT program / MOOC course / SWAYAM course as refresher courses for career advancement of faculty in the PBAS of SPA Delhi (CATEGORY III(E)I and given API of 20 points, as per recommendations of the 10<sup>th</sup> Senate made at its Meeting held on 23<sup>rd</sup> January, 2021".

11 BOG ITEM NO. 07:	TO CONSIDER THE ANNUAL REPORT 2019-2020
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The BOG considered and approved the Annual Report for the year 2019-2020 for submission with the Ministry of Education, Government of India and resolved as under:

Resolution No.87: "Resolved to approve Annual Report 2019-2020."

11 BOG ITEM NO. 08:	TO CONSIDER THE COMPLETION OF PROBATION PERIOD OF SHRI VIRENDRA KUMAR, DEPUTY REGISTRAR APPOINTED IN THE YEAR 2019
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The BOG considered and approved the completion of probation period of Shri Virendra Kumar, Deputy Registrar and resolved as under:

Resolution No. 88, "Resolved to approve the completion of the probation period and confirmation of services of Shri Virendra Kumar, Deputy Registrar w.e.f. 14.10.2019".

11 BOG ITEM NO.09:	TO CONSIDER AND APPROVE detailed - A) IPR POLICY B) IP CELL, AND C) SETTING UP SECTION 8 COMPANY
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The 11<sup>th</sup> BOG discussed the proposal already approved, in principle, by the 09<sup>th</sup> BOG and further deliberated on the details regarding operationalization plan, and working framework for setting up the section 8 Company at SPA, Delhi. It was further informed that with this the SPA, Delhi would be a distinguished centre of research and innovation and, if approved, it will be a new step in the SPA.



It was preferred that out of five names suggested, as available for registration on MCA Portal, must include the word 'DELHI' suffixed to SPA. The suggested, available names, are as under:

1. SPA Foundation for Innovation and Research for Social Transformation (SPA FIRST).
2. SPA Foundation for Innovation, Research and Entrepreneurship (SPA FIRE)
3. SPA Research and Innovation Foundation (SPARIF)
4. SPA Research Foundation (SPARF)
5. SPA Innovation Council (SPAIC)

It was further clarified that a total 7 members is a good enough number on the Governing Board, with 3 Directors and 4 Board Members, and the same has been arrived at after discussions with the CA.

It was further clarified that for setting up the section 8 company the expenditure to the tune of Rs.50,000- (approx.) for formation & the professional forming and Rs.70,000 for post company incorporations would be required which can be met out of MoE Grant-in-aid received for the Design Innovation Centre Scheme funds. It was further clarified that the funds are available under DIC Scheme and it has been clarified from the Ministry that the same funds can be used for setting up this company. In future, the Company will start generating its own resources.

It was also mentioned that AICTE is also supporting start-up policy and this will facilitate the operationalization of the company.

The BOG approved the Plan for operationalization and working framework of IP Cell and Section 8 Company at the SPA-Delhi.

The BOG resolved as under:

Resolution No.89, "Resolved to approve the operationalization plan of section 8 company and IP Cell at SPA-Delhi and working framework for the same. The preferred registration name of the company at MCA portal may include Delhi suffixed with the word SPA".





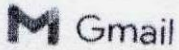
**Any other Item:**

1. Dean(Research) requested that Leave Rules for Faculty of SPA(D) prepared and pending adoption since one year, may be adopted soon. On this Chairman, BOG informed that the draft leave rules proposed by SPA-Delhi have been sent to SPA(B) and the SPA(V) for their comments and whereas SPA(B) has forwarded the comments the same are awaited from SPA(V). On receiving the comments from both the SPAs, these Leave Rules shall be framed so that these rules have uniformity with other SPAs.
2. Chairperson, BOG informed the BOG that Prof.Dr.V.K.Paul, HoD(BEM) has received an offer of appointment as Visiting Professor from the University of West England, Bristol, U.K. An email in this regard has been received on the day of the BOG Meeting. The terms and conditions were not included in the email. The BOG advised that the same may be put up in the next meeting for consideration of the BOG as a separate agenda Item, after obtaining details of responsibilities to be discharged, whether Prof.Paul has to go to UK for the total duration or going as and when required, whether classes will be online or offline mode, remuneration to be paid to him, permissibility under rules, etc. particularly in light of the fact that already one faculty of the same Department is already on leave and outside India.

The meeting ended with vote of thanks to the Chair.

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Renu Anand &lt;renuanand2604@gmail.com&gt;

**MINUTES OF 11TH BOG SPECIAL MEETING OF SPA-D HELD ON 27TH JANUARY, 2021 - REG**

5 messages

Renu Anand <renuanand2604@gmail.com>  
 To: Amogh Gupta <amoghkgupta@yahoo.co.in>, pstechedu@nic.in, D S Meshram <dsmeshram@gmail.com>, sapna295@yahoo.com, "Prof. Subrata Chattopadhyay" <subratachattopadhyay10@gmail.com>, Raneer Vedamuthu <raneemaria@gmail.com>, Priyaleen Singh <p.singh@spa.ac.in>, SANJUKTA BHADURI <sanjuktabhaduri@gmail.com>, mmohan.edu@gov.in, jsfa.edu@gov.in, tharad@ias.nic.in, "P.S.N Rao" <drpsnrao@hotmail.com>, uday.kiran@nic.in  
 Cc: Umakant Agarwal <ukagarwal2006@gmail.com>

Wed, Feb 3, 2021 at 1:54 PM

To

ALL MEMBERS OF BOG, SPA-D

Sir/Madam,

I am directed to forward herewith Minutes of the 11th Board of Governors Special Meeting held on 27.01.2021, from Shri Umakant Agarwal, Registrar-Secretary, BOG, SPA-D.

with kind regards

Renu Anand  
 Sr.PA(C)  
 SPA, Delhi

11th BOG Minutes\_SPAD.pdf  
 9533K

Prof.Dr.P.S.N. Rao <drpsnrao@hotmail.com>  
 To: Renu Anand <renuanand2604@gmail.com>

Wed, Feb 3, 2021 at 2:11 PM

Approved

Prof. Dr. P.S.N.Rao  
 DIRECTOR  
 School of Planning and Architecture  
 Indraprastha Estate  
 NEW DELHI 110002 INDIA

Chairman, DUAC, MoHUA, Govt. of India  
 Chairman, All India T&CP Board, AICTE

From: Renu Anand <renuanand2604@gmail.com>  
 Sent: Wednesday, February 3, 2021 1:54:14 PM

To: Amogh Gupta <amoghkgupta@yahoo.co.in>; pstechedu@nic.in <pstechedu@nic.in>; D S Meshram <dsmeshram@gmail.com>; sapna295@yahoo.com <sapna295@yahoo.com>; Prof.Subrata Chattopadhyay <subratachattopadhyay10@gmail.com>; Raneer Vedamuthu <raneemaria@gmail.com>; Priyaleen Singh <p.singh@spa.ac.in>; SANJUKTA BHADURI <sanjuktabhaduri@gmail.com>; mmohan.edu@gov.in <mmohan.edu@gov.in>; jsfa.edu@gov.in <jsfa.edu@gov.in>; tharad@ias.nic.in <tharad@ias.nic.in>; P.S.N Rao <drpsnrao@hotmail.com>; uday.kiran@nic.in <uday.kiran@nic.in>  
 Cc: Umakant Agarwal <ukagarwal2006@gmail.com>

Subject: MINUTES OF 11TH BOG SPECIAL MEETING OF SPA-D HELD ON 27TH JANUARY, 2021 - REG

(Quoted text hidden)

Raneer Vedamuthu <raneemaria@gmail.com>  
 To: Renu Anand <renuanand2604@gmail.com>

Mon, Feb 8, 2021 at 3:45 PM

Cc: Amogh Gupta <amoghkgupta@yahoo.co.in>, pstechedu@nic.in, D S Meshram <dsmeshram@gmail.com>, SAPNA PRABHAKAR <sapna295@yahoo.com>, "Prof. Subrata Chattopadhyay" <subratachattopadhyay10@gmail.com>, Priyaleen Singh <p.singh@spa.ac.in>, SANJUKTA BHADURI <sanjuktabhaduri@gmail.com>, mmohan.edu@gov.in, jsfa.edu@gov.in, tharad@ias.nic.in, "P.S.N Rao" <drpsnrao@hotmail.com>, uday.kiran@nic.in, Umakant Agarwal <ukagarwal2006@gmail.com>

To  
 Mr Umakant Agarwal  
 Registrar- SPA, N Delhi  
 Member Secretary- BOG

Dear Sir  
 With reference to 11th BOG Item 06 regarding 10 Senate Item No 8, it was suggested and opined that if the Supervisor has completed the Comprehension/Registration of his/ her students he/ she may be allowed to continue as Supervisor after superannuation for such students. This may be noted and necessary action be taken.

Regards  
 Dr Raneer Vedamuthu

(Quoted text hidden)

Amogh Gupta <amoghkgupta@yahoo.co.in>  
To: Renu Anand <renuanand2604@gmail.com>

Thu, Feb 11, 2021 at 11:00 AM

With reference to 11th BOG Item 06 regarding 10 Senate Item No 8 :- "During discussion on subject it was suggested and opined that if the Supervisor has completed the Comprehension/ Registration of his/ her students he/ she may be allowed to continue as Supervisor after superannuation for such students for suitable time 2-2.5 years after the superannuation -time rider also should be mentioned). The wording may be phrased accordingly."

Dr Amogh Kumar Gupta  
Chairman  
SPA-D

DR P S N Rao  
Director

SPA-D

[Quoted text hidden]

Renu Anand <renuanand2604@gmail.com>

To: "P.S.N Rao" <drpsnrao@hotmail.com>, Umakant Agarwal <ukagarwal2006@gmail.com>

Fri, Feb 5, 2021 at 11:00 AM

Sir,

May please see the comments of Chairman, BOG and Prof.Ranee V. on the minutes of 11th BOG (Item No 6), in the trailing mails.

Renu Anand  
[Quoted text hidden]

योजना तथा वास्तुकला विद्यालय : नई दिल्ली  
SCHOOL OF PLANNING AND ARCHITECTURE: NEW DELHI

**MINUTES OF 12<sup>th</sup> FINANCE COMMITTEE SPECIAL MEETING OF THE SCHOOL OF PLANNING AND ARCHITECTURE, NEW DELHI, HELD ON WEDNESDAY, 27<sup>TH</sup> JANUARY, 2021 AT 11.00 A.M. IN THE NEW COMMITTEE ROOM OF THE SPA, DELHI (OFFLINE / ONLINE MODE)**


Present:

1.	Dr. Amogh Kumar Gupta Chairperson, FC	Chairperson
2.	Prof. Dr. P.S.N. Rao Director	Member
3.	Shri Madan Mohan ADG (NITs/SPAs) & Bureau Head(TE) Ministry of Education	Member
4.	Shri Udaya Kiran K.V. US(IFD), Ministry of Education (Representative of Ms. Darshana M. Dabral, JS & FA, Ministry of Education)	Member
5.	Prof. Dr. D.S. Meshram (Nominee of BOG)	Member
6.	Prof. Dr. Raneer Vedamuthu (Nominee of BOG)	Member
7.	Shri Umakant Agarwal Registrar	Secretary

The Members mentioned at Sl.Nos. 3,4, 5 & 6 attended the Meeting Online through VC Mode.

Shri Umakant Agarwal, Registrar-Secretary welcomed all Members of the FC and requested the Chairman to start the Meeting.

The Chairman welcomed all the Members and called the meeting to order.

  
02/21/2021

12 FC ITEM NO. 1:	TO CONFIRM THE MINUTES OF 11 <sup>th</sup> FINANCE COMMITTEE MEETING OF THE SCHOOL HELD ON 14 <sup>th</sup> SEPTEMBER, 2020 THROUGH ONLINE/OFFLINE MODE
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The Minutes of the 11<sup>th</sup> Finance Committee Meeting were confirmed.

12 FC ITEM NO. 2:	TO CONSIDER ACTIONS TAKEN ON THE MINUTES OF 11 <sup>th</sup> FINANCE COMMITTEE MEETING OF THE SCHOOL HELD ON 14 <sup>th</sup> SEPTEMBER, 2020 THROUGH ONLINE/OFFLINE MODE
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As regards 11 FC Item No.7, it was informed to the FC that the enhanced Licence Fee deduction has been started from 14<sup>th</sup> September, 2020.

With the above, the Action Taken Report on the Minutes of the 11<sup>th</sup> FC were noted and approved.

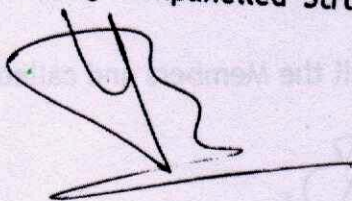
12 FC ITEM NO.03:	TO CONSIDER CAG REPORT RECEIVED FROM CAG FOR THE YEAR 2019-2020
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The FC observed that there was no major infirmity in the draft audit report and the observations made by the CAG may be looked into and settled. The draft reply of the School dated 11.01.2021 is attached with the Minutes of the 12<sup>th</sup> FC.

12 FC ITEM NO.04:	TO CONSIDER RECOMMENDATIONS OF THE BWC MEETING HELD ON 22.01.2021
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The FC noted the recommendations of the 10<sup>th</sup> BWC held on 22<sup>nd</sup> January, 2021 and recommended as under:

1. With regard to 10 BWC Item No.01, the Minutes of the 09<sup>th</sup> Building & Works Committee Special Meeting held on 8<sup>th</sup> September, 2020 were noted.
2. With regard to 10 BWC Item No.02, the Action Taken Report on the minutes of the 09<sup>th</sup> BWC held on 8<sup>th</sup> September, 2020 was noted.
3. With regard to 10 BWC Item No.03, the recommendation of the BWC on the structural audit through empanelled Structural Engineers with South DMC was noted.



4. With regard to 10 BWC Item No.04, the FC noted the present status of construction of new campus at Vasant Kunj and agreed to the recommendation of the BWC for forwarding the revised letter of DDA dated 20.01.2021 to the PCCF, Forest Department of Government of NCT of Delhi, for receiving their acceptance in writing was noted.
5. With regard to 10 BWC Item No.05, the report on the current status of construction works was noted.
6. With regard to 10 BWC Item No.06, the FC considered and approved the whitewashing, painting (internal) and other misc. works in the Hostel (Boys and Girls) Building at Maharani Bagh at a total cost of Rs.27,43,162/- by open tender through e-tendering, CPP portal, as per CPWD specifications and GFR.
7. With regard to 10 BWC Item No.07, the FC considered and approved the whitewashing, painting (internal & external) and other misc. works in the Girls Hostel at ITO, Planning Building at a total cost of Rs.22,82,130/- by open tender through e-tendering, CPP portal, as per CPWD specifications and GFR.

<b>12 FC ITEM NO.05:</b>	<b>TO REPORT THE MATTER REGARDING SMT.PARKASH BHATIA FOR RATIFICATION</b>
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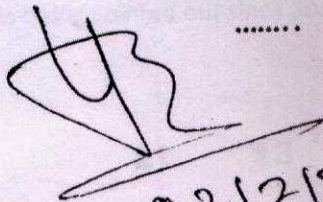
The FC considered the matter regarding payment of Rs.6,66,183/- to the claimant i.e. Smt.Prakash Bhatia on account of arrears of back wages from 4.07.1989 to 31.12.2012 (Rs.3,73,992/-) and arrears of gratuity (Rs.2,92,191/-), as per Orders of the O/o the Joint Labour Commissioner (CD), Govt. of NCT of Delhi dated 27.08.2020.

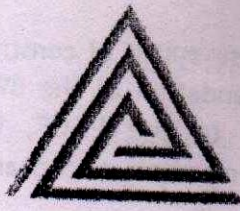
It was further informed to the FC that the School approached the High Court. The High Court dismissed the plea so the School was left with no option but to pay the amount.

The FC has made a suggestion that all pending legal cases and audit paras may be reported in the forthcoming FC/BOG and the School agreed to the same.

The FC ratified the action of the School in this matter.

The Meeting ended with vote of thanks to the Chair.

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 02/2/2021



संजय प्रसाद वारसुप्रसाद विद्यालय, नई दिल्ली

School of Planning and Architecture, New Delhi

Institution of National Importance under an Act of Parliament  
Ministry of Human Resource Development, Government of India

File no 103/20-21/SPA/A&B

11.01.2021

To,  
Sh Praveen saxena,  
Dy. Director ( AMG-1)  
Office of Director General of Audit  
( Home, Education & Skill Development  
IP Estate , New Delhi-110002

**Sub : Draft Reply of SPA Delhi for the year 2019-20**

Dear sir

Please refer to your letter no. ASPS/AMG-1/SAR/SPA/9-34/2020-21/366 dated 04.01.2021.  
In this regard please find attached the reply of the Draft Audit report and it is requested to please  
issue Final Audit report at the earliest for further submission to Ministry.

Thanking you

Regards

Yours faithfully

( Umakant Agarwal)

Registrar

## Draft Reply

Sub: Comments on Draft Separate Audit Report by Comptroller and Auditor General of India on the accounts of School of Planning & Architecture, New Delhi for the year ended 31<sup>st</sup> March, 2020

Dear Sir,

This is with reference to above-mentioned subject. Our comments/reply in respect of observations raised in the Draft Audit Report by Comptroller and Auditor General of India on the accounts of School of Planning & Architecture, New Delhi for the year ended 31<sup>st</sup> March, 2020 are given herein below:

### 1.A. Balance Sheet

#### A.1 Liabilities

##### A.1.1 Designated/Earmarked/Endowment Fund (Schedule 2) – Rs. 27.10 crore

- (i) The School is maintaining a separate Fixed Assets/ Building Fund and routing the entire capital grant received and utilisation thereof through Schedule 2 (Designated/Earmarked/Endowment Fund). To this extent, Corpus/Capital Fund (Schedule 1) and Schedule 2 were not drawn as per the instruction in Format of Accounts prescribed by Ministry of Education. The same has been pointed out in the Separate Audit Report since 2014-15 but rectification has not been done.
- (ii) This has also resulted in routing of unutilised Grant-in-Aid of Rs. 4.64 crore under Designated/Earmarked/Endowment Fund (Schedule 2) instead of Current Liabilities & Provisions (Schedule 3).

#### Our Comments

- (i) As per previous practice followed by the school, the school has been reflecting the grant received for capital expenditure under Capital Assets Fund. At the time of the receipt of grant the school adds this grant in Fund and utilizes it from this fund whenever fixed assets are purchased. Since as per the format provided the Schedule 2 is pertaining to the funds account of the organization. Therefore, the fund has been established under the School for the Fixed Asset and Building.
- (ii) In view of the above practice followed by the school this point may kindly be dropped.

##### A.1.2 Reserves and Surplus (Schedule 1 B) – Rs. (-) 2.54 Crore

The above represents surplus of income of Rs. 2.54 crore which should have been depicted under "Capital Fund". This is contravention of format of accounts prescribed by Ministry of Education which state that excess of income over expenditure should be added to the Capital Fund and the excess of expenditure over income should be deducted from the Capital Fund. This is being pointed out since 2016-17 but rectification has not been done.



### Our Comments

As per previous practice the school is showing the capital fund in two parts in Schedule 1

1(a) Capital fund

1(b) General Reserve

However, in main Balance Sheet the figure of Corpus Fund has been shown by adding the both the sub heads in Schedule 1. As such there is no contravention of the format.

### A.2 Assets

#### A.1.1 Fixed Assets (Schedule 4) – Rs. 14.32 crore

During scrutiny of annual accounts of SPA for the year 2019-20 following differences were noticed in the figures as per accounts and as per the details of Fixed Assets provided which needs to be reconciled.

Particulars	Figure As per Accounts (Rs.)	Figures as Data Provided (Rs.)
Computer Peripherals	12,49,145	14,82,421
Furniture	26,35,894	31,20,631
Equipment	27,11,803	28,12,640

### Our Comment:

As a general practice of the School, it enters the Fixed Asset in register at the time of receipt of the Assets, whereas the entry in the books of accounts is made after the approval of bills and at the time of payment. The School is reconciling the reason for the differences arise between the Fixed Assets Register and Books of Accounts.

However, audit observation is noted and will be complied in subsequent year.

#### A.1.1 Loans, Advances and Deposits (Schedule-8) - Rs.5.90 Crore

- (i) The above includes security deposit of Rs. 0.25 lakh with BSES Rajdhani and Yamuna Power Ltd. whereas as per the records the security deposit is Rs. 3.16 lakh. This resulted in understatement of Loans, Advances and Deposits and Capital Fund by Rs. 2.91 lakh. This is being pointed out since 2014-15 but no action has been taken.
- (ii) The above includes departmental advances of Rs. 3.99 crore out of which advances of Rs. 1.96 crore is pending since 2012-13. As per GFR provisions the advances should have been adjusted. Non-adjustment of advances resulted in overstatement of Loans, Advances & Deposits and understatement of Expenditure/Refund by Rs. 1.96 crore.
- (iii) The above includes claim receivable relating to research project and other receivables of Rs. 4.09 lakh which are pending since 2011-12 and hence does not seems to be realisable.
- (iv) The above includes TA advance of Rs. 97.66 lakh out of which Rs. 4.63 lakh – TA advance (Plan) is pending since 2017. Employee wise and year wise details of remaining amount of

advance of Rs. 93.02 lakh was not provided to audit due to which audit could not verify the advances of Rs. 93.02 lakh.

**Our Comments:**

- (i) Audit observation is noted and after retrieving the old records before 2014-15, necessary rectification shall be done, if necessary, under intimation to audit.
- (ii) Audit observation is noted. These records are pertaining to period before 2010-11. The school has already taken necessary steps to reconcile these advances, the same will be replied after reconciling these advances in subsequent years, rectification shall be done, if necessary, under intimation to audit.
- (iii) The School has already taking the step to recover these outstanding amount from various project but since the amount is pertaining to year before 2010-11 it will take some time for recovery.
- (iv) Non production of this document was not intimated to the nodal officer during the course of audit. However the school had provided the TA Advance register, year wise and employee wise, during the course of audit. The TA advance of Rs.4.63 lakh shall be reconciled under intimation to audit.

**B. Income and Expenditure Account**

**B.1 Other Income (Schedule – 12) – Rs. 2.39 crore**

The above includes Stale Cheques Written back of Rs. 62.24 lakh. The list of cheques and approval of authority for writing back the amount has not been provided to audit, in absence of which amount could not be verified in audit.

**Our Comments**

The list mentioned at above was already provided to audit party during the course of audit. One copy again attached for your reference. The above mentioned stale cheques were reflected in Annual Accounts since very long i.e. 31<sup>st</sup> March 2017. As those cheques became time barred and no one was claiming the amount since very long, these cheques has been booked as income. Competent Authority had already accorded their approval at the time of signing the Annual Accounts.

**C. General and Contributory Provident Fund Accounts**

1. An amount of Rs. 13.86 lakh has been shown as 'Advance recoverable from GPF' in the Balance Sheet of GPF/CPF. This amount is appearing in the accounts since 2010 – 11 and the details of the same are not available with SPA due to which audit could not be verify this amount.

**Our Comments**

The amount is pending since 2010 – 11. The School has taken steps to reconcile this amount and after reconciliation necessary action will be taken.

Differences were noticed in the figures of investment as per accounts and as per the records (deposit certificates):

FDR A/C No.	Bank	Investment amount as per accounts	Investment amount as per the records	Differences
5047778098	Allahabad Bank	92,64,402	91,79,743	84,659
50477779433	Allahabad Bank	50,23,543	49,77,637	45,906
5047777946	Allahabad Bank	28,70,596	28,44,363	26,233
50477209746	Allahabad Bank	50,21,623	49,75,665	45,958
<b>Total</b>				<b>2,02,756</b>

This has resulted in overstatement of Investment and Interest Reserve by Rs. 2.03 lakh

#### Our Comments

The school has made the balance sheet on the basis of actual value of FDR with the School. However, the School has already making follow up with the bank for the difference in the certificate provided by them and the copy of FDR with School.

The School has taken steps to reconcile this amount and after reconciliation necessary action will be taken.

#### D. General

##### D.1 Bank Reconciliation Statement

Scrutiny of Bank Reconciliation Statements for the month March, 2020 revealed the following:

- (i) Cheques deposited but not cleared by bank amounting to Rs. 50.64 lakh (pertaining to period Oct, 2015 to March, 2019 of Uco Bank A/c 1266). These amounts should be reconciled at the earliest. These cases need investigation with particular emphasis on old cases.
- (ii) Amount credited by bank not taken in cashbook amounting to Rs. 23.06 lakh (Rs. 20.61 lakh pertaining to period May, 2010 to March, 2018 of Uco bank A.c 1266 and Rs. 2.45 lakh pertaining to Jan, 2019 of Uco bank foreign a/c no. 1848). Thus, amount of Rs. 20.61 lakh has remained out of accounts. Steps should be taken to reconcile this amount.
- (iii) Amount debited by bank not taken in cashbook amounting to Rs. 20.33 lakh (pertaining to period Jan, 2010 to March, 2019). Thus, amount of Rs. 20.33 lakh has remained out of accounts. Steps should be taken to reconcile this amount.

#### Our Comments

- (i) The School will take steps to reconcile this amount and after investigation of these entries with the bank, necessary action will be taken.
- (ii) The School will take steps to reconcile this amount and after investigation of these entries with the bank, necessary action will be taken.
- (iii) The School will take steps to reconcile this amount and after investigation of these entries with the bank, necessary action will be taken.

**E. Significant Accounting Policies (Schedule 19)**

The Significant Accounting Policy No. 5 is in violation of Accounting Standard 15 of ICAI and also in contravention of the format prescribed by Ministry of Education which provides that Employee's Benefits/obligations are to be provided on the basis of Actuarial Valuation. This is being pointed out since 2014-15 but no action has been taken.

**Our Comments:**

School will take necessary action as per the Significant Accounting Policy No.5 /Accounting Standard 15 of ICAI and the format prescribed by Ministry of Education, so that Employee's Benefits/obligations can be provided on the basis of Actuarial Valuation.

**F. Grant-in-aid**

During the year 2019-20, the School of Planning and Architecture received grant-in-aid of Rs. 53.90 crore (Recurring: Rs. 51.90 Crore and Non Recurring : Rs. 2.00 Crore) from the Ministry of Education, of which Rs. 3.32 Crore (Recurring : Rs. 3.2 Crore and Non Recurring : Rs. 0.12 Crore) was received during March, 2019. It had an opening balance of Non-Recurring grant-in-aid of Rs. 3.82 crore as on 1 April, 2019. The recurring grant-in-aid was fully utilized and from the non recurring grant-in-aid expenditure of Rs. 1.17 Crore was incurred leaving unutilized grant-in-aid of Rs. 4.64 Crore as on 31 March, 2020.

It also received Rs. 2.69 Crore for various projects and had Rs. 2.04 Crore as unspent balance of previous year. Out of the total fund of Rs. 4.73 Crore it utilized Rs. 3.40 Crore leaving unutilized balance of Rs. 1.33 Crore as on 31 March, 2020.

**Our Comments**

Information with regard to above balances have been matched with the annual accounts and found correct. Therefore, no further comments to offer.

## Annexure to Audit Report

### 1. Adequacy of Inter Audit System

- The School neither has any internal audit wing nor has the internal audit been done by the Pay & Accounts Officer of Ministry of Human Resource Development. However the school has appointed a consultant as an Internal Auditor and has also appointed a Chartered Accountant (CA) who is engaged in conducting internal audit of the School on a regular basis and providing his report on quarterly basis.

#### Our Comment

The School neither has any internal audit wing nor has the internal audit been done by the Pay & Accounts Officer of Ministry of Human Resource Department but, the School has appointed a consultant as an Internal Auditor who helps to add value, improving the control and governance process along with alerting management of gaps in policy compliance.

Along with this, the School has also appointed a Chartered Accountant (C.A) who is engaged in conducting internal audit of the School on a regular basis and providing his report on quarterly basis.

On approval of sanctioned post of the Internal Auditor from the Ministry of Human Resource Department, the Internal Audit wing will be established in School.

### 2. Adequacy of Internal Control System

The Internal Control System of School needs to be strengthened in following areas:-

- Non-reconciliation and non-settlement of advances of previous years.

#### Our Comment

With due efforts, the School is still working on the matter and almost reconciled the old advances which were pending from many years are now settled with recovery and penal interest. Further, the remaining advances will be settled in due course. Hence audit observation is noted & complied in subsequent year.

- Non-reconciliation of and non-settlement of advance of previous years.

#### Our comment

The action for reconciliation related to above is being taken & will be completed soon.

- Outstanding debit balances in various sponsored projects.

#### Our comment

We will take up the matter with the concerned Project Heads and after due examination, necessary action shall be taken.

- Fixed Assets Register was not maintained in the proper format as stipulated in General Financial Rules

**Our comment**

Fixed assets register will be maintained in proper format as stipulated in GFR from the current year onwards.

- The management response to external audit objections is not effective as 65 paras were outstanding as on 31/3/2020.

**Our comment**

We will re-examine all the 65 paras and necessary action/improvement shall be taken.

**3. System of physical verification of assets**

- The physical verification of fixed assets has been conducted up to 2019-20.

**Our comment**

No further comments to offer.

- Physical verification of books and publications had been conducted up to 2018-19.

**Our comment**

The physical verification work of books and publications relating to 2018-19 is in progress.

**4. System of Physical verification of Inventory**

- Physical verification of inventory like stationery & consumables was conducted up to 2019-20.

**Our comment**

The action for physical verification work related to above is being taken & will be completed soon.

**5. Regularity in payment of dues**

- As per the accounts, payment of statutory dues over six months in respect of Delhi Value Added Tax (DVAT) of Rs. 1.79 lakh pending since April, 2019.

**Our comment**

The action for deposit of dues related to above is being taken & will be deposited soon.